



## Balance of Payments Category (Mark applicable box 'X')

### 1. MERCHANDISE

#### Transaction Adjustments

100 Adjustments/Reversals/Refunds applicable to merchandise

#### Exports: Advance Payments

- 101 01 Export advance payment (excluding capital goods, gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal, iron ore and goods exported via the South African Post Office)
- 101 02 Export advance payment – capital goods
- 101 03 Export advance payment – gold
- 101 04 Export advance payment – platinum
- 101 05 Export advance payment – crude oil
- 101 06 Export advance payment – refined petroleum products
- 101 07 Export advance payment – diamonds
- 101 08 Export advance payment – steel
- 101 09 Export advance payment – coal
- 101 10 Export advance payment – iron ore
- 101 11 Export advance payment – goods exported via the South African Post Office

#### Exports

- 103 01 Export payments (excluding capital goods, gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal, iron ore and goods exported via the South African Post Office)
- 103 02 Export payment – capital goods
- 103 03 Export payment – gold
- 103 04 Export payment – platinum
- 103 05 Export payment – crude oil
- 103 06 Export payment – refined petroleum products
- 103 07 Export payment – diamonds
- 103 08 Export payment – steel
- 103 09 Export payment – coal
- 103 10 Export payment – iron ore
- 103 11 Export payment – goods exported via the South African Post Office

#### Exports: Other

- 105 Consumables acquired in port
- 106 Trade finance repayments in respect of exports
- 107 Export proceeds where the customs value of the shipment is less than R500
- 108 Export payments where goods were declared as part of passenger baggage and no UCR is available

#### Invoice Number

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- 109 01 Proceeds for goods purchased by non-residents where no physical export will take place, excluding gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal and iron ore as well as merchanting transactions
- 109 02 Proceeds for gold purchased by non-residents where no physical export will take place, excluding merchanting transactions
- 109 03 Proceeds for platinum purchased by non-residents where no physical export will take place, excluding merchanting transactions
- 109 04 Proceeds for crude oil purchased by non-residents where no

physical export will take place, excluding merchanting transactions

- 109 05 Proceeds for refined petroleum products purchased by non-residents where no physical export will take place, excluding merchanting transactions
- 109 06 Proceeds for diamonds purchased by non-residents where no physical export will take place, excluding merchanting transactions
- 109 07 Proceeds for steel purchased by non-residents where no physical export will take place, excluding merchanting transactions
- 109 08 Proceeds for coal purchased by non-residents where no physical export will take place, excluding merchanting transactions
- 109 09 Proceeds for iron ore purchased by non-residents where no physical export will take place, excluding merchanting transactions
- 110 Merchanting transaction

### 2. INTELLECTUAL PROPERTY AND OTHER SERVICES

#### Transaction Adjustments

200 Adjustments/Reversals/Refunds applicable to intellectual property and service-related items

#### Related Reference

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#### Charges for the Use of Intellectual Property

- 201 Rights assigned for licences to reproduce and/or distribute
- 202 Rights assigned for using patents and inventions (licensing)
- 203 Rights assigned for using patterns and designs (including industrial processes)
- 204 Rights assigned for using copyrights
- 205 Rights assigned for using franchises and trademarks

#### Disposal of Intellectual Property (excluding computer-related and audiovisual)

- 210 Disposal of patents and inventions
- 211 Disposal of patterns and designs (including industrial processes)
- 212 Disposal of copyrights
- 213 Disposal of franchises and trademarks

#### Research and Development

- 220 Proceeds received for research and development services
- 221 Funding received for research and development

#### Audiovisual and Related Items

- 225 Sales of original manuscripts, sound recordings and films
- 226 Receipt of funds relating to the production of motion pictures, radio and television programs and musical recordings

#### Computer Software and Related Items

- 230 The outright selling of ownership rights of software
- 231 Computer-related services including maintenance, repair and consultancy
- 232 Commercial sales of customised software and related licenses for use by customers
- 233 Commercial sales of non-customised software on physical media with periodic licence to use
- 234 Commercial sales of non-customised software provided on physical media with right to perpetual (ongoing) use
- 235 Commercial sales of non-customised software provided for downloading or electronically made available with periodic license
- 236 Commercial sales of non-customised software provided for downloading or electronically made available with single payment

## Balance of Payments Category (Mark applicable box 'X')

### Technical-related Services

- 240 01 Fees for processing – processing done on materials (excluding gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal and iron ore)
- 240 02 Fees for processing – processing done on gold
- 240 03 Fees for processing – processing done on platinum
- 240 04 Fees for processing – processing done on crude oil
- 240 05 Fees for processing – processing done on refined petroleum products
- 240 06 Fees for processing – processing done on diamonds
- 240 07 Fees for processing – processing done on steel
- 240 08 Fees for processing – processing done on coal
- 240 09 Fees for processing – processing done on iron ore
- 241 Repairs and maintenance on machinery and equipment
- 242 Architectural, engineering and other technical services
- 243 Agricultural, mining, waste treatment and depollution services

### Travel Services for Non-residents

- 250 Travel services for non-residents – business travel
- 251 Travel services for non-residents – holiday travel
- 252 Foreign exchange accepted by residents from non-residents

### Travel Services for Residents

- 255 Travel services for residents – business travel
- 256 Travel services for residents – holiday travel

### Travel Services in Respect of Third Parties

- 260 Proceeds for travel services in respect of third parties – business travel
- 261 Proceeds for travel services in respect of third parties – holiday travel

### Telecommunication and Information Services

- 265 Proceeds for telecommunication services
- 266 Proceeds for information services including data, news-related and news agency fees

### Transportation Services

- 270 01 Proceeds for passenger services – road
- 270 02 Proceeds for passenger services – rail
- 270 03 Proceeds for passenger services – sea
- 270 04 Proceeds for passenger services – air
- 271 01 Proceeds for freight services – road
- 271 02 Proceeds for freight services – rail
- 271 03 Proceeds for freight services – sea
- 271 04 Proceeds for freight services – air
- 272 01 Proceeds for other transport services – road
- 272 02 Proceeds for other transport services – rail
- 272 03 Proceeds for other transport services – sea
- 272 04 Proceeds for other transport services – air
- 273 01 Proceeds for postal and courier services – road
- 273 02 Proceeds for postal and courier services – rail

- 273 03 Proceeds for postal and courier services – sea
- 273 04 Proceeds for postal and courier services – air

### Financial Services Provided

- 275 Commission and fees
- 276 Proceeds for financial services charged for advice provided

### Construction Services

- 280 Proceeds for construction services

### Government Services

- 281 Proceeds for government services
- 282 Diplomatic transfers

### Study-related Services

- 285 Tuition fees

### Other Business Services Rendered

- 287 Proceeds for legal services
- 288 Proceeds for accounting services
- 289 Proceeds for management consulting services
- 290 Proceeds for public relation services
- 291 Proceeds for advertising and market research services
- 292 Proceeds for managerial services
- 293 Proceeds for medical and dental services
- 294 Proceeds for educational services
- 295 Operational leasing
- 296 Proceeds for cultural and recreational services
- 297 Proceeds for other business services not included elsewhere

## 3. TRANSACTIONS RELATING TO INCOME AND YIELDS ON FINANCIAL ASSETS

### Transaction Adjustments

- 300 Adjustments/Reversals/Refunds related to income and yields on financial assets

Related Reference

### Income Receipts

- 301 Dividends
- 302 Branch profits
- 303 Compensation paid by a non-resident to a resident employee temporarily abroad (excluding remittances)
- 304 Compensation paid by a non-resident to a non-resident employee in South Africa (excluding remittances)
- 305 Compensation paid by a non-resident to a migrant worker employee (excluding remittances)
- 306 Compensation paid by a non-resident to a foreign national contract worker employee (excluding remittances)
- 307 Commission or brokerage
- 308 Rental
- 309 01 Interest received from a resident temporarily abroad in respect of loans
- 309 02 Interest received from a non-resident in respect of individual loans
- 309 03 Interest received from a non-resident in respect of study loans

**Balance of Payments Category (Mark applicable box 'X')**

- 309 04** Interest received from a non-resident in respect of shareholders loans
- 309 05** Interest received from a non-resident in respect of third party loans
- 309 06** Interest received from a non-resident in respect of trade finance loans
- 309 07** Interest received from a non-resident in respect of a bond
- 309 08** Interest received not in respect of loans
- 310 01** Income in respect of inward listed securities equity individual
- 310 02** Income in respect of inward listed securities equity corporate
- 310 03** Income in respect of inward listed securities equity bank
- 310 04** Income in respect of inward listed securities equity institution
- 311 01** Income in respect of inward listed securities debt individual
- 311 02** Income in respect of inward listed securities debt corporate
- 311 03** Income in respect of inward listed securities debt bank
- 311 04** Income in respect of inward listed securities debt institution
- 312 01** Income in respect of inward listed securities derivatives individual
- 312 02** Income in respect of inward listed securities derivatives corporate
- 312 03** Income in respect of inward listed securities derivatives bank
- 312 04** Income in respect of inward listed securities derivatives institution
- 313** Income earned abroad by a resident on an individual investment

**4. TRANSFERS OF A CURRENT NATURE**

**Transaction Adjustments**

- 400** Adjustments/Reversals/Refunds related to transfers of a current nature
- Related Reference**

**Current Payments**

- 401** Gifts
- 402** Annual contributions
- 403** Contributions in respect of social security schemes
- 404** Contributions in respect of charitable, religious and cultural (excluding research and development)
- 405** Other donations/aid to Government (excluding research and development)
- 406** Other donations/aid to private sector (excluding research and development)
- 407** Pensions
- 408** Annuities (pension-related)
- 409** Inheritances
- 410** Alimony
- 411 01** Tax – income tax
- 411 02** Tax – VAT refunds
- 411 03** Tax – other
- 412** Insurance premiums (non-life/short-term)
- 413** Insurance claims (non-life/short-term)
- 414** Insurance premiums (life)
- 415** Insurance claims (life)

- 416** Migrant worker remittances (excluding compensation)
- 417** Foreign national contract worker remittances (excluding compensation)

**5. TRANSFERS OF A CAPITAL NATURE**

**Capital Transfers and Immigrants**

**Transaction Adjustments**

- 500** Adjustments/Reversals/Refunds related to capital transfers and immigrants
- Related Reference**

**Capital Transfers Relating to Government/Corporate Entities (excluding loans)**

- 501** Donations to SA Government – fixed assets
- 502** Donations to corporate entities – fixed assets
- 503** Investment into property by a non-resident corporate entity
- 504** Disinvestment of property by a resident corporate entity

**Capital Transfers by Non-resident Individuals**

- 510 01** Investment into property by a non-resident individual
- 510 02** Investment by a non-resident individual – other

**Capital Transfers by South African Resident Individuals**

**Disinvestment of Capital**

- 511 01** Disinvestment of capital by a resident individual – shares
- 511 02** Disinvestment of capital by a resident individual – bonds
- 511 03** Disinvestment of capital by a resident individual – money market instruments
- 511 04** Disinvestment of capital by a resident individual – deposits with a foreign bank
- 511 05** Disinvestment of capital by a resident individual – mutual funds/collective investment schemes
- 511 06** Disinvestment of capital by a resident individual – property
- 511 07** Disinvestment of capital by a resident individual – other
- 516** Repatriation of capital, on instruction by the Financial Surveillance Department, of a foreign investment by a resident individual in respect of cross-border flows
- 517** Repatriation of capital, on instruction by the Financial Surveillance Department, of a foreign investment by a resident individual originating from an account conducted in foreign currency held at an Authorised Dealer in South Africa

**Tax Number**

**Immigrants**

- 530 01** Immigration

**6. FINANCIAL INVESTMENTS/DISINVESTMENTS AND PRUDENTIAL INVESTMENTS**

**Transaction Adjustments**

- 600** Adjustments/Reversals/Refunds related to financial investments/disinvestments and prudential investments
- Related Reference**

**Financial Investments/Disinvestments (excluding local institutional investors)**

**Investment by a Non-resident**

- 601 01** Investment in listed shares by a non-resident
- 601 02** Investment in non-listed shares by a non-resident
- 602** Investment into money market instruments by a non-resident

**Balance of Payments Category (Mark applicable box 'X')**

- 603 01** Investment into listed bonds by a non-resident (excluding loans)
- 603 02** Investment into non-listed bonds by a non-resident (excluding loans)

**Disinvestment by a Resident Corporate Entity**

- 605 01** Disinvestment of shares by resident – agriculture, hunting, forestry and fishing
- 605 02** Disinvestment of shares by resident – mining, quarrying and exploration
- 605 03** Disinvestment of shares by resident – manufacturing
- 605 04** Disinvestment of shares by resident – electricity, gas and water supply
- 605 05** Disinvestment of shares by resident – construction
- 605 06** Disinvestment of shares by resident – wholesale, retail, repairs, hotel and restaurants
- 605 07** Disinvestment of shares by resident – transport and communication
- 605 08** Disinvestment of shares by resident – financial services
- 605 09** Disinvestment of shares by resident – community, social and personal services

**Inward Listed Investments**

- 610 01** Inward listed securities equity individual buyback
- 610 02** Inward listed securities equity corporate buyback
- 610 03** Inward listed securities equity bank buyback
- 610 04** Inward listed securities equity institution buyback
- 611 01** Inward listed securities debt individual redemption
- 611 02** Inward listed securities debt corporate redemption
- 611 03** Inward listed securities debt bank redemption
- 611 04** Inward listed securities debt institution redemption
- 612 01** Inward listed securities derivatives individual proceeds
- 612 02** Inward listed securities derivatives corporate proceeds
- 612 03** Inward listed securities derivatives bank proceeds
- 612 04** Inward listed securities derivatives institution proceeds

**Prudential Investments (institutional investors and banks)**

- 615 01** Disinvestment by resident institutional investor – asset manager
- 615 02** Disinvestment by resident institutional investor – collective investment scheme
- 615 03** Disinvestment by resident institutional investor – retirement fund
- 615 04** Disinvestment by resident institutional investor – life linked
- 615 05** Disinvestment by resident institutional investor – life non-linked
- 616** Bank prudential disinvestment

**7. DERIVATIVES**

**Transaction Adjustments**

- 700** Adjustments/Reversals/Refunds related to derivatives  
**Related Reference**

**Derivatives (excluding inward listed)**

- 701 01** Options – listed
- 701 02** Options – unlisted
- 702 01** Futures – listed

- 702 02** Futures – unlisted
- 703 01** Warrants – listed
- 703 02** Warrants – unlisted
- 704 01** Gold hedging – listed
- 704 02** Gold hedging – unlisted
- 705 01** Derivative not specified above – listed
- 705 02** Derivative not specified above – unlisted

**8. LOAN AND MISCELLANEOUS PAYMENTS**

**Transaction Adjustments**

- 800** Adjustments/Reversals/Refunds related to loan and miscellaneous payments  
**Related Reference**

**Loans (capital portion)**

**Loans Granted to Residents**

- 801** Trade finance loan drawn down in South Africa
- 802** International bond drawn down
- 803** Loan made to a resident by a non-resident shareholder
- 804** Loan made to a resident by a non-resident third party

**Loans Repaid by Residents Temporarily Abroad**

- 810** Repayment by a resident temporarily abroad of a loan granted by a resident

**Loans Repaid by Non-residents**

- 815** Repayment of an individual loan to a resident
- 816** Repayment of a study loan to a resident
- 817** Repayment of a shareholders loan to a resident
- 818** Repayment of a third-party loan to a resident (excluding shareholders)
- 819** Repayment of a trade finance loan to a resident

**Loan Reference**

**Tenor**

**Interest Rate**

**SARB Approval Number**

**Date**   
D D M M Y Y Y Y

**Related Bank Reference Number**

**Date**   
D D M M Y Y Y Y

**Miscellaneous Payments**

- 830** Details of payments not classified  
**Payment Description**
- 832** Rand drafts/cheques drawn on vostro accounts (only applicable if no description is available)
- 833** Credit/Debit card company settlement as well as money remitter settlements

